

Key: **i** = Important **i** = For your information

**i** This form is for:

- Sole Traders

**Before you begin:**

- i** We only accept applications from organisations that are liable for tax in the UK only, and where their owners/controllers, or equivalent, are liable for tax in the UK only. All individuals named on the account must be aged 18 or over.
- Please complete this form using BLOCK CAPITALS. This makes it easier for us to read.
- If a trading name is used, we will require a original or certified copy of either a VAT registration, tax return, bank statement or utility bill (less than 3 months old), website details, business card or letter heading, business insurance document or confirmation from a qualified professional (e.g. solicitor or accountant) to open the account.

Section

## 1 Which account are you applying for?

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Please tick your selected account

- 5 Year Fixed Rate Bond**  
Business, Trust & Charity Bond paying **1.85%** Gross/AER Fixed (Issue 19).  
Minimum deposit £10k and maximum deposit £5m.
- 3 Year Fixed Rate Bond**  
Business, Trust & Charity Bond paying **1.60%** Gross/AER Fixed (Issue 8).  
Minimum deposit £10k and maximum deposit £5m.
- 2 Year Fixed Rate Bond**  
Business, Trust & Charity Bond paying **1.50%** Gross/AER Fixed (Issue 18).  
Minimum deposit £10k and maximum deposit £5m.

Section

## 2 About the individual who owns the organisation

of 10

Please detail information for the **Sole Trader** of the organisation.

**Name**

**Personal address**   
 **Postcode**

**Personal email address**

**i** This is how we will usually contact the organisation about the account e.g. changes to the account or terms & conditions

**Personal mobile number**  **National Insurance Number**

**Date of birth**       **Nationality**

This individual is a UK resident and pays tax in the UK only:

Does this individual already have an account with Cambridge & Counties Bank? Yes  No  If yes, please provide account number

## 3 Tell us about the organisation

of 10

Organisation name

Organisation address

(if different to address detailed in Section 2)



Postcode

Main activity of organisation

Organisation turnover/income for last full financial year

Date last full financial year ended







Total assets for organisation

Start date of organisation







Do you already have an account with Cambridge & Counties Bank?

Yes

No

If yes, please provide account number









Does this organisation operate in any countries outside the UK?

Yes

No

If yes, please state which countries

Is the organisation, and are any related entities, based in the UK and liable for tax in the UK only?

Yes

No

**i** We are only able to proceed with the application if the organisation, and any related entities, are based in the UK and liable for tax in the UK only.

## 4 About the deposit & nominated current account

of 10

Deposit amount

I/We would like to invest:

£

**i** This must be within the relevant minimum/maximum deposit amount highlighted in **Section 1**.

Where has this deposit come from?

(tick all that apply)

Existing savings

Trading income / profit

Capital injection / loan

Proceeds of a sale

Investment / funding

Compensation / settlement

Donation / grant / subscriptions

Gift / rebate / inheritance

Other (please provide details)

How will you make your opening deposit?

**i** Your opening deposit must come from your nominated current account as detailed below. This can be made either by cheque or electronic transfer.

Cheque

**i**

The cheque must be made payable to the organisation name as outlined in **Section 2** and the cheque must show the account number and sort code to verify the account. The cheque must be included with your application form.

Electronic transfer

**i**

You will need to send us either a void cheque showing the account number and sort code, or an original/certified copy of a bank statement for the nominated current account, showing the account number and sort code (a copy statement would need to be certified by the issuing bank or in accordance with the standards detailed here: [www.gov.uk/certifying-a-document](http://www.gov.uk/certifying-a-document)). The statement must be dated within the last 3 months. All original statements will be returned to you. Once your account is open, we will write to you with details of how to make your deposit.

## 4 The nominated current account

of 10  
(cont.)

- ! All deposits and withdrawals must be made to/from the nominated current account. This can be with any UK bank or building society and must be held in the same name as the organisation outlined in **Section 3**.

Bank/Building Society name	<input type="text"/>														
Account name	<input type="text"/>														
Account number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Sort Code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Section

## 5 About the interest

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In the case of a 1 Year Bond or less, interest is credited to the account on maturity. For Bonds with a term of more than 1 year, interest is credited to the account annually on the anniversary of the Bond opening, and also then on maturity.

Please tick here if you would prefer interest to be paid into the nominated current account.

From 6 April 2016, HMRC rules mean that banks no longer deduct any tax from interest payments made. Your interest will therefore be paid without the deduction of tax (Gross). The organisation will need to pay any tax that may be owed to HMRC.

- ! The next section asks for information related to any additional individuals in the organisation. We need this information to operate your account effectively and meet regulatory requirements.
- i A Signatory is an individual who has the authority to operate and transact on the account e.g. request a withdrawal, change signatories or nominated current account details. Only Signatories may have online account access.

Section

## 6 About the individuals who will run this account

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This section is for **Signatories**. If there are no Signatories to add, **please go to Section 7**.

- ! If you require more than two signatories, please print and complete additional copies of this page.

### Individual 1

Name	<input type="text" value="Title"/>	<input type="text" value="Forename(s)"/>	<input type="text" value="Surname"/>												
Personal address	<input type="text"/>														
	<input type="text"/>	Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>						
Personal email address	<input type="text"/>														
Personal contact number	<input type="text"/>	National Insurance Number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		
Date of birth	<input type="text" value="D"/>	<input type="text" value="D"/>	<input type="text" value="M"/>	<input type="text" value="M"/>	<input type="text" value="Y"/>	<input type="text" value="Y"/>	Nationality	<input type="text"/>							
This individual is a UK resident and pays tax in the UK only:												<input type="checkbox"/>			
Does this individual already have an account with Cambridge & Counties Bank?		Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	If yes, please provide account number		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Signature	<input type="text"/>														

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## Individual 2

of 10  
(cont.)

**Name**

**Personal address**  
  
 **Postcode**

**Personal email address**

**Personal contact number**  **National Insurance Number**

**Date of birth**       **Nationality**

**This individual is a UK resident and pays tax in the UK only:**

**Does this individual already have an account with Cambridge & Counties Bank?** Yes  No  **If yes, please provide account number**

**Signature**

### Section

# 7

## Additional individuals

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If you require **any individuals to have access to the account for information purposes** please complete the section below.

- ! Only balances, transaction history and general information can be given via phone or the correspondence email address. These individuals will not have online account access or be able to make changes to the account.

**Name**

**Organisation**  
(if applicable)

**Personal address**  
  
 **Postcode**

**Personal email address**

**Personal contact number**

**Date of birth**

## 8 Data about you and connected individuals

of 10

Cambridge & Counties Bank Limited hold and use your personal information and information about connected individuals (e.g. Directors, Shareholders, Trustees, Beneficiaries) in accordance with the General Data Protection Regulation. We will use the contact details provided to get in touch regarding the account with us. We will use the information on this form to open the account, manage it and tailor the service we offer as well as make checks and seek any verifications needed. The information you provide us with, or is collected by the Bank during our dealings with each other, is kept for as long as is necessary to administer any contractual relationships with us, or for as long as regulation or the law says we have to, if that's longer. To prevent criminal activities, information captured on this form and obtained from Credit Reference Agencies or other third parties will be shared with relevant agencies who may keep a record of it. These agencies will also give us electoral roll information for the purpose of verifying the identities of significant persons to this application, which we will retain. Where additional individuals are identified as part of this process (e.g. Undisclosed Directors) we will process the data for the prevention and detection of crime. If criminal activity is identified, any details we hold will be passed to the relevant agencies. Associations between joint applicants may be created at credit reference agencies.

You can find our full Privacy Notice, explaining how we use personal information and what rights there are in relation to it, at [www.ccbank.co.uk/privacy-notice](http://www.ccbank.co.uk/privacy-notice). If you have any questions or require further information, please get in touch using the details below:

 **Write:** Data Protection Officer, Cambridge & Counties Bank, Charnwood Court, 5B New Walk, Leicester LE1 6TE

 **Call:** 0344 225 3939

 **Email:** [dataprotection@ccbank.co.uk](mailto:dataprotection@ccbank.co.uk)

By signing this document, you are declaring that you have read and understood both the above summary and our full Privacy Notice.

Would you like to hear from us?

Occasionally we may share information with you about account launches and news from Cambridge & Counties Bank. If you wish to receive this information, please tick the ways in which you would like to hear from us:

Post  Telephone  Email

You can withdraw your consent to receiving these types of communications at any time by contacting us on the details above.

## 9 About the Financial Services Compensation Scheme (FSCS)

of 10

We are covered by the Financial Services Compensation Scheme (FSCS). The FSCS can pay compensation to depositors if a bank is unable to meet its financial obligations. For the current level of cover, further details and to view/download the FSCS Information and Exclusions list, please visit our site at [www.ccbank.co.uk/fscs](http://www.ccbank.co.uk/fscs)

 **This box must be ticked to proceed**

*I/We confirm that we have received the information sheet and exclusions list.*

It is a regulatory requirement that all banks have to maintain records to help determine the eligibility of their customers. If the organisations circumstances change during the term of the Bond, you must inform us of the change. Most depositors, including individuals and businesses, are covered by the scheme.

If you are unsure as to whether you are eligible or not, you can call us on 0344 225 3939 or email [savings@ccbank.co.uk](mailto:savings@ccbank.co.uk)

Alternatively, you can contact the FSCS directly on 0800 678 1100 or visit [www.fscs.org.uk](http://www.fscs.org.uk)



Protected

# 10 Declaration

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## I declare that:

- 1 I understand that any one of the signatories can sign to withdraw on maturity of the Bond;
- 2 I understand that all withdrawals and deposits will be via the nominated current account detailed in Section 3;
- 3 I understand that I can deposit a maximum of £10m per organisation overall with Cambridge & Counties Bank;
- 4 I accept the Terms & Conditions applicable to this account;
- 5 I agree that Cambridge & Counties Bank may use my information as stated in this application form and where information has been provided in relation to others I have informed them of the Privacy Notice referenced in Section 8;
- 6 This application form has been completed to the best of my knowledge and belief;
- 7 The account I am opening and the money I am investing or will invest is on behalf of the organisation named in Section 3 and is not clients' monies, unless specific authorisation to open this account has been obtained from the client. I hereby certify that the organisation has the power to open the account applied for and that if required I will produce evidence of the appropriate authority to confirm that the deposit may be made;
- 8 I authorise you to act on the instructions of the signatories and I agree to provide you with an authorised signature for each signatory. I also agree and acknowledge that I will not hold the Bank responsible if the organisation suffers a loss as a result of you acting on the authority and instructions of the signatories. I will tell you immediately in writing if the signatories are to be changed;
- 9 I agree that we will notify the Bank should the organisation's status or account related individuals change. I will also notify you if the organisation's tax status or residency change and;
- 10 I confirm that all named individuals are residents in the UK. I will notify the Bank immediately if the status of the organisation or named individuals change.

**!** This box must be ticked to proceed

I understand that no withdrawals can be made during the term of the Bond.

## PLEASE SIGN BELOW

**!** The Sole Trader of the organisation must have provided details in Section 2 of this form.

Signature

Date







Name

Cambridge & Counties Bank Limited, Charnwood Court, 5B New Walk, Leicester LE1 6TE

[Tel: 0344 225 3939](tel:03442253939) [Fax: 0116 254 4637](tel:01162544637) [Email: savings@ccbank.co.uk](mailto:savings@ccbank.co.uk) [Web: ccbank.co.uk](http://ccbank.co.uk)

Cambridge & Counties Bank Ltd is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority under firm registration number 579415. Our authorisation can be checked at the Financial Services Register at [www.fca.org.uk](http://www.fca.org.uk).

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